

**ANNEX A**  
**GOVERNMENT PROCUREMENT POLICY BOARD**  
**Agency Procurement Compliance and Performance Indicator (APCPI) Self-Assessment Form**

Name of Agency: Center for International Trade Expositions and Missions  
Date of Self Assessment:

Name of Evaluator: \_\_\_\_\_  
Position: \_\_\_\_\_

No.	Assessment Conditions	Agency Score	APCPI Rating*	Comments/Findings to the Indicators and SubIndicators	Supporting Information/Documentation (Not to be Included in the Evaluation)
<b>PILLAR I. LEGISLATIVE AND REGULATORY FRAMEWORK</b>					
<b>Indicator 1. Competitive Bidding as Default Method of Procurement</b>					
1.a	Percentage of competitive bidding and limited source bidding contracts in terms of amount of total procurement	24.20%	0.00		PMRs
1.b	Percentage of competitive bidding and limited source bidding contracts in terms of volume of total procurement	2.40%	0.00		PMRs
<b>Indicator 2. Limited Use of Alternative Methods of Procurement</b>					
2.a	Percentage of shopping contracts in terms of amount of total procurement	1.87%	3.00		PMRs
2.b	Percentage of negotiated contracts in terms of amount of total procurement	69.87%	0.00		PMRs
2.c	Percentage of direct contracting in terms of amount of total procurement	4.07%	0.00		PMRs
2.d	Percentage of repeat order contracts in terms of amount of total procurement	0.00%	3.00		PMRs
2.e	Compliance with Repeat Order procedures	n/a	n/a		Procurement documents relative to conduct of Repeat Order
2.f	Compliance with Limited Source Bidding procedures	n/a	n/a		Procurement documents relative to conduct of Limited Source Bidding
<b>Indicator 3. Competitiveness of the Bidding Process</b>					
3.a	Average number of entities who acquired bidding documents	2.17	0.00		Agency records and/or PhilGEPS records
3.b	Average number of bidders who submitted bids	2.17	1.00		Abstract of Bids or other agency records
3.c	Average number of bidders who passed eligibility stage	1.17	1.00		Abstract of Bids or other agency records
3.d	Sufficiency of period to prepare bids	Fully Compliant	3.00		Agency records and/or PhilGEPS records
3.e	Use of proper and effective procurement documentation and technical specifications/requirements	Fully Compliant	3.00		Cost Benefit Analysis, Work Plans, Technical Specifications included in bidding documents
<b>Average I</b>			<b>1.27</b>		
<b>PILLAR II. AGENCY INSTITUTIONAL FRAMEWORK AND MANAGEMENT CAPACITY</b>					
<b>Indicator 4. Presence of Procurement Organizations</b>					
4.a	Creation of Bids and Awards Committee(s)	Fully Compliant	3.00		Verify copy of Order creating BAC; Organizational Chart; and Certification of Training
4.b	Presence of a BAC Secretariat or Procurement Unit	Fully Compliant	3.00		Verify copy of Order creating BAC Secretariat; Organizational Chart; and Certification of Training
<b>Indicator 5. Procurement Planning and Implementation</b>					
5.a	An approved APP that includes all types of procurement	Compliant	3.00		Copy of APP and its supplements (if any)
5.b	Preparation of Annual Procurement Plan for Common-Use Supplies and Equipment (APP-CSE) and Procurement of Common-Use Supplies and Equipment from the Procurement Service	Fully Compliant	3.00		APP, APP-CSE, PMR
5.c	Existing Green Specifications for GPPB-identified non-CSE items are adopted	Compliant	3.00		ITBs and/or RFQs clearly indicate the use of green technical specifications for the procurement activity
<b>Indicator 6. Use of Government Electronic Procurement System</b>					
6.a	Percentage of bid opportunities posted by the PhilGEPS-registered Agency	100.00%	3.00		Agency records and/or PhilGEPS records
6.b	Percentage of contract award information posted by the PhilGEPS-registered Agency	100.00%	3.00		Agency records and/or PhilGEPS records
6.c	Percentage of contract awards procured through alternative methods posted by the PhilGEPS-registered Agency	70.08%	2.00		Agency records and/or PhilGEPS records
<b>Indicator 7. System for Disseminating and Monitoring Procurement Information</b>					

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No.	Assessment Conditions	Agency Score	APCPI Rating*	Comments/Findings to the Indicators and SubIndicators	Supporting Information/Documentation (Not to be Included in the Evaluation)
7.a	Presence of website that provides up-to-date procurement information easily accessible at no cost	Fully Compliant	3.00		Identify specific procurement-related portion in the agency website and specific website links
7.b	Preparation of Procurement Monitoring Reports using the GPPB-prescribed format, submission to the GPPB, and posting in agency website	Fully Compliant	3.00		Copy of PMR and received copy that it was submitted to GPPB
		<b>Average II</b>	<b>2.90</b>		
<b>PILLAR III. PROCUREMENT OPERATIONS AND MARKET PRACTICES</b>					
<b>Indicator 8. Efficiency of Procurement Processes</b>					
8.a	Percentage of total amount of contracts signed within the assessment year against total amount in the approved APPs	81.52%	3.00		APP (including Supplemental amendments, if any) and PMRs
8.b	Percentage of total number of contracts signed against total number of procurement projects done through competitive bidding	58.33%	0.00		APP(including Supplemental amendments, if any)and PMRs
8.c	Planned procurement activities achieved desired contract outcomes and objectives within the target/allotted timeframe	Fully Compliant	3.00		Agency Procedures/Systems for the conduct of needs analysis or market research, monitoring of timely delivery of goods, works, or services  Contracts with amendments and variations to order amount to 10% or less
<b>Indicator 9. Compliance with Procurement Timeframes</b>					
9.a	Percentage of contracts awarded within prescribed period of action to procure goods	0.00%	0.00		PMRs
9.b	Percentage of contracts awarded within prescribed period of action to procure infrastructure projects	n/a	n/a		PMRs
9.c	Percentage of contracts awarded within prescribed period of action to procure consulting services	n/a	n/a		PMRs
<b>Indicator 10. Capacity Building for Government Personnel and Private Sector Participants</b>					
10.a	There is a system within the procuring entity to evaluate the performance of procurement personnel on a regular basis	Fully Compliant	3.00		Samples of forms used to evaluating procurement performance on top of or incorporated within the regular assessment for Procurement Personnel
10.b	Percentage of participation of procurement staff in procurement training and/or professionalization program	0.00%	0.00		Ask for copies of Office Orders, training modules, list of participants, schedules of actual training conducted
10.c	The procuring entity has open dialogue with private sector and ensures access to the procurement opportunities of the procuring entity	Compliant	3.00		Ask for copies of documentation of activities for bidders
<b>Indicator 11. Management of Procurement and Contract Management Records</b>					
11.a	The BAC Secretariat has a system for keeping and maintaining procurement records	Fully Compliant	3.00		Verify actual procurement records and time it took to retrieve records (should be no more than two hours) Refer to Section 4.1 of User's Manual for list of procurement-related documents for record-keeping and maintenance.
11.b	Implementing Units has and is implementing a system for keeping and maintaining complete and easily retrievable contract management records	Fully Compliant	3.00		Verify actual contract management records and time it took to retrieve records should be no more than two hours
<b>Indicator 12. Contract Management Procedures</b>					
12.a	Agency has defined procedures or standards in such areas as quality control, acceptance and inspection, supervision of works and evaluation of contractors' performance	Fully Compliant	3.00		Verify copies of written procedures for quality control, acceptance and inspection; CPES evaluation formsz
12.b	Timely Payment of Procurement Contracts	On or before 30 days	3.00		Ask Finance or Accounting Head of Agency for average period for the release of payments for procurement contracts

**ANNEX A**  
**GOVERNMENT PROCUREMENT POLICY BOARD**  
**Agency Procurement Compliance and Performance Indicator (APCPI) Self-Assessment Form**

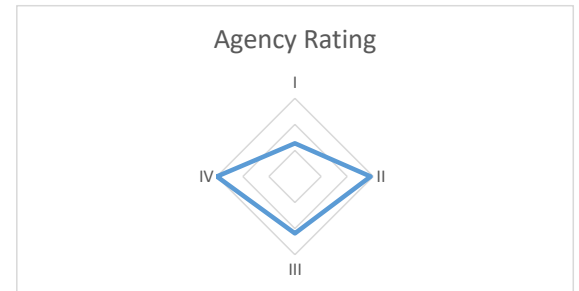
Name of Agency: Center for International Trade Expositions and Missions  
 Date of Self Assessment:

Name of Evaluator: \_\_\_\_\_  
 Position: \_\_\_\_\_

No.	Assessment Conditions	Agency Score	APCPI Rating*	Comments/Findings to the Indicators and SubIndicators	Supporting Information/Documentation (Not to be Included in the Evaluation)
		<b>Average III</b>	<b>2.18</b>		
<b>PILLAR IV. INTEGRITY AND TRANSPARENCY OF AGENCY PROCUREMENT SYSTEM</b>					
<b>Indicator 13. Observer Participation in Public Bidding</b>					
13.a	Observers are invited to attend stages of procurement as prescribed in the IRR	Fully Compliant	3.00		Verify copies of Invitation Letters to CSOs and professional associations and COA (List and average number of CSOs and PAs invited shall be noted.)
<b>Indicator 14. Internal and External Audit of Procurement Activities</b>					
14.a	Creation and operation of Internal Audit Unit (IAU) that performs specialized procurement audits	Fully Compliant	3.00		Verify copy of Order or show actual organizational chart showing IAU, audit reports, action plans and IAU recommendations
14.b	Audit Reports on procurement related transactions	Above 90-100% compliance	3.00		Verify COA Annual Audit Report on Action on Prior Year's Audit Recommendations
<b>Indicator 15. Capacity to Handle Procurement Related Complaints</b>					
15.a	The Procuring Entity has an efficient procurement complaints system and has the capacity to comply with procedural requirements	Fully Compliant	3.00		Verify copies of BAC resolutions on Motion for Reconsiderations, Protests and Complaints; Office Orders adopting measures to address procurement-related complaints
<b>Indicator 16. Anti-Corruption Programs Related to Procurement</b>					
16.a	Agency has a specific anti-corruption program/s related to procurement	Fully Compliant	3.00		Verify documentation of anti-corruption program
		<b>Average IV</b>	<b>3.00</b>		
<b>GRAND TOTAL (Average I + Average II + Average III + Average IV / 4)</b>			<b>2.34</b>		

**Summary of APCPI Scores by Pillar**

APCPI Pillars	Ideal Rating	Agency Rating
I Legislative and Regulatory Framework	3.00	1.27
II Agency Institutional Framework and Management Capacity	3.00	2.90
III Procurement Operations and Market Practices	3.00	2.18
IV Integrity and Transparency of Agency Procurement Systems	3.00	3.00
<b>Total (Pillar I+Pillar II+Pillar III+ PillarIV)/4</b>	<b>3.00</b>	<b>2.34</b>



**ANNEX B**  
**GOVERNMENT PROCUREMENT POLICY BOARD**  
**CONSOLIDATED PROCUREMENT MONITORING REPORT**

Name of Agency: Center of International Trade Expositions and Missions

Period Covered: CY 2022

	Total Amount of Approved APP	Total Number of Procurement Activities	No. of Contracts Awarded	Total Amount of Contracts Awarded	No. of Failed Biddings	Total No. of Entities who Acquired Bid Docs	Total No. of Bidders who Submitted Bids	Total No. of Bidders who passed Eligibility Stage	No. of Bid Opportunities Posted at PhilGEPS	No. of Contract Award Posted at PhilGEPS	Total No. Of Contracts that incurred negative slippage	Total No. of contracts with amendments to order or variation orders	No. of Contracts Awarded within prescribed timeframes
Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11	Column 12	Column 13	Column 14
<b>1. Public Bidding*</b>													
1.1. Goods	40,772,620.00	12	7	25,071,095.80	4	26	26	14	12	7	0	1	10
1.2. Works	0.00	0	0	0.00	0	0	0	0	0	0	0	0	0
1.3. Consulting Services	0.00	0	0	0.00	0	0	0	0	0	0	0	0	0
<b>Sub-Total</b>	<b>40,772,620.00</b>	<b>12</b>	<b>7</b>	<b>25,071,095.80</b>	<b>4</b>	<b>26</b>	<b>26</b>	<b>14</b>	<b>12</b>	<b>7</b>	<b>0</b>	<b>1</b>	<b>10</b>
<b>2. Alternative Modes</b>													
2.1.1 Shopping (52.1 a above 50K)	0.00	0	0	0.00						0			
2.1.2 Shopping (52.1 b above 50K)	1,213,174.00	13	9	792,675.80					13	9			
2.1.3 Other Shopping	1,888,350.75	100	85	1,140,913.61						0			
2.2.1 Direct Contracting (above 50K)	4,204,750.00	11	11	4,170,735.44						11			
2.2.2 Direct Contracting (50K or less)	99,000.00	4	3	46,100.00						0			
2.3.1 Repeat Order (above 50K)	0.00	0	0	0.00						0			
2.3.2 Repeat Order (50K or less)	0.00	0	0	0.00						0			
2.4. Limited Source Bidding	0.00	0	0	0.00					0	0			
2.5.1 Negotiation (Common-Use Supplies)	1,669,424.00	3	2	1,867,172.89									
2.5.2 Negotiation (Recognized Government Printers)	0.00	0	0	0.00									
2.5.3 Negotiation (TFB 53.1)	4,200,000.00	1	1	3,650,000.00					1	1			
2.5.4 Negotiation (SVP 53.9 above 50K)	22,318,804.80	67	54	20,005,566.40					67	53			
2.5.5 Other Negotiated Procurement (Others above 50K)	51,455,393.39	60	52	47,484,729.32						15			
2.5.6 Other Negotiated Procurement (50K or less)	1,578,694.00	83	70	1,255,187.71						0			
<b>Sub-Total</b>	<b>88,627,590.94</b>	<b>342</b>	<b>287</b>	<b>80,413,081.17</b>					<b>81</b>	<b>89</b>			
<b>3. Foreign Funded Procurement**</b>													
3.1. Publicly-Bid	0.00	0	0	0.00		0	0	0					
3.2. Alternative Modes	0.00	0	0	0.00		0	0	0					
<b>Sub-Total</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0.00</b>		<b>0</b>	<b>0</b>	<b>0</b>					
<b>4. Others, specify:</b>	0.00	0	0	0.00									
<b>TOTAL</b>	<b>129,400,210.94</b>	<b>354</b>	<b>294</b>	<b>105,484,176.97</b>									

\* Should include foreign-funded publicly-bid projects per procurement type

\*\* All procurement using Foreign Funds excluding National Competitive Bidding (NCB) contracts; conversion to peso will be at BSP rates at the time the bids/quotations were submitted

Prepared by:

  
**REYNALDO GONZALES**  
 Head, BAC Secretariat

Recommended by:

  
**ATTY. ANNA GRACE MARPURI**  
 Chairman, Bids and Awards Committee

Approved by:

  
**MA. LOURDES D. MEDIRAN**  
 Alternate Head of Procuring Entity/Deputy Executive Director

AGENCY PROCUREMENT COMPLIANCE AND PERFORMANCE INDICATORS (APCPI)  
QUESTIONNAIRE

Name of Agency: CENTER FOR INTERNATIONAL TRADE EXPOSITIONS AND MISSIONS  
Name of Respondent: \_\_\_\_\_

Date: \_\_\_\_\_  
Position: BAC Secretariat

*Instruction: Put a check (✓) mark inside the box beside each condition/requirement met as provided below and then fill in the corresponding blanks according to what is asked. Please note that all questions must be answered completely.*

1. Do you have an approved APP that includes all types of procurement, given the following conditions? (5a)

- Agency prepares APP using the prescribed format
- Approved APP is posted at the Procuring Entity's Website  
please provide link: https://citem.gov.ph/transparency
- Submission of the approved APP to the GPPB within the prescribed deadline  
please provide submission date: 31-Jan-22

2. Do you prepare an Annual Procurement Plan for Common-Use Supplies and Equipment (APP-CSE) and Procure your Common-Use Supplies and Equipment from the Procurement Service? (5b)

- Agency prepares APP-CSE using prescribed format
- Submission of the APP-CSE within the period prescribed by the Department of Budget and Management in its Guidelines for the Preparation of Annual Budget Execution Plans issued annually  
please provide submission date: 31-Aug-21
- Proof of actual procurement of Common-Use Supplies and Equipment from DBM-PS

3. In the conduct of procurement activities using Repeat Order, which of these conditions is/are met? (2e)

- n/a Original contract awarded through competitive bidding
- n/a The goods under the original contract must be quantifiable, divisible and consisting of at least four (4) units per item
- n/a The unit price is the same or lower than the original contract awarded through competitive bidding which is advantageous to the government after price verification
- n/a The quantity of each item in the original contract should not exceed 25%
- n/a Modality was used within 6 months from the contract effectivity date stated in the NTP arising from the original contract, provided that there has been a partial delivery, inspection and acceptance of the goods within the same period

4. In the conduct of procurement activities using Limited Source Bidding (LSB), which of these conditions is/are met? (2f)

- n/a Upon recommendation by the BAC, the HOPE issues a Certification resorting to LSB as the proper modality
- n/a Preparation and Issuance of a List of Pre-Selected Suppliers/Consultants by the PE or an identified relevant government authority
- n/a Transmittal of the Pre-Selected List by the HOPE to the GPPB
- n/a Within 7cd from the receipt of the acknowledgement letter of the list by the GPPB, the PE posts the procurement opportunity at the PhilGEPS website, agency website, if available and at any conspicuous place within the agency

5. In giving your prospective bidders sufficient period to prepare their bids, which of these conditions is/are met? (3d)

- Bidding documents are available at the time of advertisement/posting at the PhilGEPS website or Agency website;
- Supplemental bid bulletins are issued at least seven (7) calendar days before bid opening;

AGENCY PROCUREMENT COMPLIANCE AND PERFORMANCE INDICATORS (APCPI)  
QUESTIONNAIRE

Minutes of pre-bid conference are readily available within five (5) days.

6. Do you prepare proper and effective procurement documentation and technical specifications/requirements, given the the following conditions? (3e)

- The end-user submits final, approved and complete Purchase Requests, Terms of Reference, and other documents based on relevant characteristics, functionality and/or performance requirements, as required by the procurement office prior to the commencement of the procurement activity
- No reference to brand names, except for items/parts that are compatible with the existing fleet or equipment
- Bidding Documents and Requests for Proposal/Quotation are posted at the PhilGEPS website, Agency website, if applicable, and in conspicuous places

7. In creating your BAC and BAC Secretariat which of these conditions is/are present?

For BAC: (4a)

Office Order creating the Bids and Awards Committee  
please provide Office Order No.: 2019018

There are at least five (5) members of the BAC  
please provide members and their respective training dates:

Name/s	Date of RA 9184-related training
A. <u>OIC-DM Atty. Anna Grace I. Marpuri</u>	<u>March 12, 2021</u>
B. <u>DC Katrina C. Pineda</u>	<u>March 12, 2021</u>
C. <u>DC Wilma G. Dulay</u>	<u>March 12, 2021</u>
D. <u>DC Rowena D. Mendoza</u>	<u>March 12, 2021</u>
E. <u>DC. Marjo F. Evio</u>	<u>March 12, 2021</u>
F. <u>DC Norman D. Bagulbagul</u>	<u>March 12, 2021</u>
G. <u>DC Anna Marie D. Alzona</u>	<u>March 12, 2021</u>

- Members of BAC meet qualifications
- Majority of the members of BAC are trained on R.A. 9184

For BAC Secretariat: (4b)

Office Order creating of Bids and Awards Committee Secretariat or designing Procurement Unit to act as BAC Secretariat  
please provide Office Order No.: 2019018

The Head of the BAC Secretariat meets the minimum qualifications  
please provide name of BAC Sec Head: Reynaldo R. Gonzales

Majority of the members of BAC Secretariat are trained on R.A. 9184  
please provide training date: March 8-12, 2021

8. Have you conducted any procurement activities on any of the following? (5c)

If YES, please mark at least one (1) then, answer the question below.

- |  |   |
|--|---|
| <input checked="" type="checkbox"/> Computer Monitors, Desktop Computers and Laptops | <input checked="" type="checkbox"/> Paints and Varnishes                  |
| <input checked="" type="checkbox"/> Air Conditioners                                 | <input checked="" type="checkbox"/> Food and Catering Services            |
| <input checked="" type="checkbox"/> Vehicles   | <input checked="" type="checkbox"/> Training Facilities / Hotels / Venues |
| <input checked="" type="checkbox"/> Fridges and Freezers                             | <input checked="" type="checkbox"/> Toilets and Urinals                   |
| <input checked="" type="checkbox"/> Copiers  | <input checked="" type="checkbox"/> Textiles / Uniforms and Work Clothes  |

AGENCY PROCUREMENT COMPLIANCE AND PERFORMANCE INDICATORS (APCPI)  
QUESTIONNAIRE

Do you use green technical specifications for the procurement activity/ies of the non-CSE item/s?

Yes

No

9. In determining whether you provide up-to-date procurement information easily accessible at no cost, which of these conditions is/are met? (7a)

Agency has a working website

please provide link: citem.gov.ph

Procurement information is up-to-date

Information is easily accessible at no cost

10. In complying with the preparation, posting and submission of your agency's Procurement Monitoring Report, which of these conditions is/are met? (7b)

Agency prepares the PMRs

PMRs are promptly submitted to the GPPB

please provide submission dates: 1st Sem - July 14, 2022 2nd Sem - January 16, 2021

PMRs are posted in the agency website

please provide link: https://citem.gov.ph/transparency

PMRs are prepared using the prescribed format

11. In planning of procurement activities to achieve desired contract outcomes and objectives within the target/allotted timeframe, which of these conditions is/are met? (8c)

There is an established procedure for needs analysis and/or market research

There is a system to monitor timely delivery of goods, works, and consulting services

Agency complies with the thresholds prescribed for amendment to order, variation orders, and contract extensions, if any, in competitively bid contracts

12. In evaluating the performance of your procurement personnel, which of these conditions is/are present? (10a)

Personnel roles, duties and responsibilities involving procurement are included in their individual performance commitment/s

Procuring entity communicates standards of evaluation to procurement personnel

Procuring entity and procurement personnel acts on the results and takes corresponding action

13. Which of the following procurement personnel have participated in any procurement training and/or professionalization program within the past three (3) years? (10b)

Date of most recent training: 12 Mar 2021

Head of Procuring Entity (HOPE)

Bids and Awards Committee (BAC)

BAC Secretariat/ Procurement/ Supply Unit

BAC Technical Working Group

End-user Unit/s

Other staff

AGENCY PROCUREMENT COMPLIANCE AND PERFORMANCE INDICATORS (APCPI)  
QUESTIONNAIRE

14. Which of the following is/are practised in order to ensure the private sector access to the procurement opportunities of the procuring entity? (10c)

- Forum, dialogues, meetings and the like (apart from pre-bid conferences) are conducted for all prospective bidders at least once a year
- The PE promptly responds to all interested prospective bidders' inquiries and concerns, with available facilities and various communication channels

15. In determining whether the BAC Secretariat has a system for keeping and maintaining procurement records, which of these conditions is/are present? (11a)

- There is a list of procurement related documents that are maintained for a period of at least five years
- The documents are kept in a duly designated and secure location with hard copies kept in appropriate filing cabinets and electronic copies in dedicated computers
- The documents are properly filed, segregated, easy to retrieve and accessible to authorized users and audit personnel

16. In determining whether the Implementing Units has a system for keeping and maintaining procurement records, which of these conditions is/are present? (11b)

- There is a list of contract management related documents that are maintained for a period of at least five years
- The documents are kept in a duly designated and secure location with hard copies kept in appropriate filing cabinets and electronic copies in dedicated computers
- The documents are properly filed, segregated, easy to retrieve and accessible to authorized users and audit personnel

17. In determining if the agency has defined procedures or standards for quality control, acceptance and inspection of goods, works and services, which of these conditions is/are present? (12a)

- Agency has written procedures for quality control, acceptance and inspection of goods, services and works

Have you procured Infrastructure projects through any mode of procurement for the past year?

- Yes                                       No

If YES, please answer the following:

- Supervision of civil works is carried out by qualified construction supervisors  
Name of Civil Works Supervisor: \_\_\_\_\_
- Agency implements CPES for its works projects and uses results to check contractors' qualifications (applicable for works only)  
Name of CPES Evaluator: \_\_\_\_\_

18. How long will it take for your agency to release the final payment to your supplier/service provider or contractor/consultant, once documents are complete? (12b)                      30 days

19. When inviting Observers for the following procurement activities, which of these conditions is/are met? (13a)

- A. Eligibility Checking (For Consulting Services Only)
  - B. Shortlisting (For Consulting Services Only)
  - C. Pre-bid conference
  - D. Preliminary examination of bids
  - E. Bid evaluation
  - F. Post-qualification
- Observers are invited to attend stages of procurement as prescribed in the IRR
  - Observers are allowed access to and be provided documents, free of charge, as stated in the IRR
  - Observer reports, if any, are promptly acted upon by the procuring entity



AGENCY PROCUREMENT COMPLIANCE AND PERFORMANCE INDICATORS (APCPI)  
QUESTIONNAIRE

20. In creating and operating your Internal Audit Unit (IAU) that performs specialized procurement audits, which set of conditions were present? (14a)

- Creation of Internal Audit Unit (IAU) in the agency  
Agency Order/DBM Approval of IAU position/s: \_\_\_\_\_ 2018005
- Conduct of audit of procurement processes and transactions by the IAU within the last three years
- Internal audit recommendations on procurement-related matters are implemented within 6 months of the submission of the internal auditor's report

21. Are COA recommendations responded to or implemented within six months of the submission of the auditors' report? (14b)

- Yes (percentage of COA recommendations responded to or implemented within six months)  
100 %
- No procurement related recommendations received

22. In determining whether the Procuring Entity has an efficient procurement complaints system and has the capacity to comply with procedural requirements, which of conditions is/are present? (15a)

- The HOPE resolved Protests within seven (7) calendar days per Section 55 of the IRR
- The BAC resolved Requests for Reconsideration within seven (7) calendar days per Section 55 of the IRR
- Procuring entity acts upon and adopts specific measures to address procurement-related complaints, referrals, subpoenas by the Omb, COA, GPPB or any quasi-judicial/quasi-administrative body

23. In determining whether agency has a specific anti-corruption program/s related to procurement, which of these conditions is/are present? (16a)

- Agency has a specific office responsible for the implementation of good governance programs
- Agency implements a specific good governance program including anti-corruption and integrity development
- Agency implements specific policies and procedures in place for detection and prevention of corruption

No.	Assessment Conditions	Poor/Not Compliant (0)	Acceptable (1)	Satisfactory (2)	Very Satisfactory/Compliant (3)
		0	1	2	3
<b>PILLAR I. LEGISLATIVE AND REGULATORY FRAMEWORK</b>					
<b>Indicator 1. Competitive Bidding as Default Method of Procurement</b>					
1	Percentage of competitive bidding and limited source bidding contracts in terms of amount of total procurement	Below 70.00%	Between 70.00-80.99%	Between 81.00-90.99%	Between 91.00-100%
2	Percentage of competitive bidding and limited source bidding contracts in terms of volume of total procurement	Below 20.00%	Between 20.00- 39.99%	Between 40.00-50.00%	Above 50.00%
<b>Indicator 2. Limited Use of Alternative Methods of Procurement</b>					
3	Percentage of shopping contracts in terms of amount of total procurement	Above 7.00%	Between 6.00-7.00 %	Between 4.00-5.99 %	Below 4.00%
4	Percentage of negotiated contracts in terms of amount of total procurement	Above 15.00%	Between 11.00 -15.00%	Between 6.00-10.99%	Below 6.00%
5	Percentage of direct contracting in terms of amount of total procurement	Above 4.00%	Between 3.00-4.00%	Between 1.00-2.99%	Below 1.00%
6	Percentage of repeat order contracts in terms of amount of total procurement	Above 4.00%	Between 3.00-4.00%	Between 1.00-2.99%	Below 1.00%
7	Compliance with Repeat Order procedures	Not Compliant			Compliant
8	Compliance with Limited Source Bidding procedures	Not Compliant			Compliant
<b>Indicator 3. Competitiveness of the Bidding Process</b>					
9	Average number of entities who acquired bidding documents	Below 3.00	3.00-3.99	4.00-5.99	6.00 and above
10	Average number of bidders who submitted bids	Below 2.00	2.00-2.99	3.00-4.99	5.00 and above
11	Average number of bidders who passed eligibility stage	Below 1.00	1.00 – 1.99	2.00-2.99	3.00 and above
12	Sufficiency of period to prepare bids	Not Compliant	Partially Compliant	Substantially Compliant	Fully Compliant
13	Use of proper and effective procurement documentation and technical specifications/requirements	Not Compliant	Partially Compliant	Substantially Compliant	Fully Compliant
<b>PILLAR II. AGENCY INSTITUTIONAL FRAMEWORK AND MANAGEMENT CAPACITY</b>					
<b>Indicator 4. Presence of Procurement Organizations</b>					
14	Creation of Bids and Awards Committee(s)	Not Compliant	Partially Compliant	Substantially Compliant	Fully Compliant
15	Presence of a BAC Secretariat or Procurement Unit	Not Compliant	Partially Compliant	Substantially Compliant	Fully Compliant
<b>Indicator 5. Procurement Planning and Implementation</b>					
16	An approved APP that includes all types of procurement	Not Compliant			Compliant
17	Preparation of Annual Procurement Plan for Common-Use Supplies and Equipment (APP-CSE) and Procurement of Common-Use Supplies and Equipment from the Procurement Service	Not Compliant	Partially Compliant	Substantially Compliant	Fully Compliant
18	Existing Green Specifications for GPPB-identified non-CSE items are adopted	Not Compliant			Compliant
<b>Indicator 6. Use of Government Electronic Procurement System</b>					
19	Percentage of bid opportunities posted by the PhilGEPS-registered Agency	Below 70.99%	Between 71.00-80.99%	Between 81.00-90.99%	Above 91.00%
20	Percentage of contract award information posted by the PhilGEPS-registered Agency	Below 20.00%	Between 20.00- 50.99%	Between 51.00-80.00%	Above 80.00%
21	Percentage of contract awards procured through alternative methods posted by the PhilGEPS-registered Agency	Below 20.00%	Between 20.00 - 50.99%	Between 51.00-80.00%	Above 80.00%
<b>Indicator 7. System for Disseminating and Monitoring Procurement Information</b>					
22	Presence of website that provides up-to-date procurement information easily accessible at no cost	Not Compliant	Partially Compliant	Substantially Compliant	Fully Compliant
23	Preparation of Procurement Monitoring Reports using the GPPB-prescribed format, submission to the GPPB, and posting in agency website	Not Compliant	Partially Compliant	Substantially Compliant	Fully Compliant

No.	Assessment Conditions	Poor/Not Compliant (0)	Acceptable (1)	Satisfactory (2)	Very Satisfactory/Compliant (3)
		0	1	2	3
<b>PILLAR III. PROCUREMENT OPERATIONS AND MARKET PRACTICES</b>					
<b>Indicator 8. Efficiency of Procurement Processes</b>					
24	Percentage of total amount of contracts signed within the assessment year against total amount in the approved APPs	Below 40.00% or above 100.00%	Between 40.00- 60.99%	Between 61.00% -80.00%	Above 80.00%
25	Percentage of total number of contracts signed against total number of procurement projects done through competitive bidding	Below 90.00%	Between 90.00- 92.99%	Between 93.00-95.00%	Above 95.00%
26	Planned procurement activities achieved desired contract outcomes and objectives within the target/allotted timeframe	Not Compliant	Partially Compliant	Substantially Compliant	Fully Compliant
<b>Indicator 9. Compliance with Procurement Timeframes</b>					
27	Percentage of contracts awarded within prescribed period of action to procure goods	Below 90.00%	Between 90.00 to 95.99%	Between 96.00 to 99.99%	100%
28	Percentage of contracts awarded within prescribed period of action to procure infrastructure projects	Below 90.00%	Between 90.00 to 95.99%	Between 96.00 to 99.99%	100%
29	Percentage of contracts awarded within prescribed period of action to procure consulting services	Below 90.00%	Between 90.00 to 95.99%	Between 96.00 to 99.99%	100%
<b>Indicator 10. Capacity Building for Government Personnel and Private Sector Participants</b>					
30	There is a system within the procuring entity to evaluate the performance of procurement personnel on a regular basis	Not Compliant	Partially Compliant	Substantially Compliant	Fully Compliant
31	Percentage of participation of procurement staff in procurement training and/or professionalization program	Less than 60.00% Trained	Between 60.00-75.99% Trained	Between 76-90% of staff trained	Between 91.00-100% Trained
32	The procuring entity has open dialogue with private sector and ensures access to the procurement opportunities of the procuring entity	Not Compliant			Compliant
<b>Indicator 11. Management of Procurement and Contract Management Records</b>					
33	The BAC Secretariat has a system for keeping and maintaining procurement records	Not Compliant	Partially Compliant	Substantially Compliant	Fully Compliant
34	Implementing Units has and is implementing a system for keeping and maintaining complete and easily retrievable contract management records	Not Compliant	Partially Compliant	Substantially Compliant	Fully Compliant
<b>Indicator 12. Contract Management Procedures</b>					
35	Agency has defined procedures or standards in such areas as quality control, acceptance and inspection, supervision of works and evaluation of contractors' performance	Not Compliant	Partially Compliant	Substantially Compliant	Fully Compliant
36	Timely Payment of Procurement Contracts	After 45 days	Between 38-45 days	Between 31-37 days	On or before 30 days
<b>PILLAR IV. INTEGRITY AND TRANSPARENCY OF AGENCY PROCUREMENT SYSTEM</b>					
<b>Indicator 13. Observer Participation in Public Bidding</b>					
37	Observers are invited to attend stages of procurement as prescribed in the IRR	Not Compliant	Partially Compliant	Substantially Compliant	Fully Compliant
<b>Indicator 14. Internal and External Audit of Procurement Activities</b>					
38	Creation and operation of Internal Audit Unit (IAU) that performs specialized procurement audits	Not Compliant	Partially Compliant	Substantially Compliant	Fully Compliant
39	Audit Reports on procurement related transactions	Below 60% compliance	Between 61-70.99% compliance	Between 71-89.99% compliance	Above 90-100% compliance
<b>Indicator 15. Capacity to Handle Procurement Related Complaints</b>					
40	The Procuring Entity has an efficient procurement complaints system and has the capacity to comply with procedural requirements	Not Compliant	Partially Compliant	Substantially Compliant	Fully Compliant
<b>Indicator 16. Anti-Corruption Programs Related to Procurement</b>					
41	Agency has a specific anti-corruption program/s related to procurement	Not Compliant	Partially Compliant	Substantially Compliant	Fully Compliant

**Annex D**

**PROCUREMENT CAPACITY DEVELOPMENT ACTION PLAN TEMPLATE**

Name of Agency: Center for International Trade Expositions and Missions

Period: 2022

Sub-Indicators	Key Area for Development	Proposed Actions to Address Key Areas	Responsible Entity	Timetable	Resources Needed
1.a	Percentage of competitive bidding and limited source bidding contracts in terms of amount of total procurement	Procurement Planning and consolidation of procurement requirements.	End-users	Last quarter of the preceding year	Meetings / Procurement Plannings
1.b	Percentage of competitive bidding and limited source bidding contracts in terms of volume of total procurement	Procurement Planning and consolidation of procurement requirements.	End-users	Last quarter of the preceding year	Meetings / Procurement Plannings
2.a	Percentage of shopping contracts in terms of amount of total procurement	Procurement Planning and consolidation of procurement requirements.	End-users	Last quarter of the preceding year	Meetings / Procurement Plannings
2.b	Percentage of negotiated contracts in terms of amount of total procurement	Procurement Planning, Updating the pool of suppliers, and invitation/sourcing of more prospective bidders	End-users / Procurement Unit	Last quarter of the preceding year and Year-round sourcing of suppliers	Meetings / Procurement Plannings / Supplier Summit
2.c	Percentage of direct contracting in terms of amount of total procurement	Procurement Planning, Updating the pool of suppliers, and invitation/sourcing of more prospective bidders	End-users / Procurement Unit	Last quarter of the preceding year and Year-round sourcing of suppliers	Meetings / Procurement Plannings / Supplier Summit
2.d	Percentage of repeat order contracts in terms of amount of total procurement	AGENCY DOESN'T HAVE REQUIREMENTS FOR REPEAT ORDER ALTERNATIVE MODE OF PROCUREMENT	-	-	-
2.e	Compliance with Repeat Order procedures	AGENCY DOESN'T HAVE REQUIREMENTS FOR REPEAT ORDER ALTERNATIVE MODE OF PROCUREMENT	-	-	-
2.f	Compliance with Limited Source Bidding procedures	AGENCY DOESN'T HAVE REQUIREMENTS FOR LIMITED SOURCE BIDDING ALTERNATIVE MODE OF PROCUREMENT	-	-	-
3.a	Average number of entities who acquired bidding documents	Invitation of more prospective bidders and Updating/Expansion of the pool of suppliers	Procurement Unit	Every bidding process and Year-round sourcing of suppliers	Emails / Calls / Supplier Summit
3.b	Average number of bidders who submitted bids	Invitation of more prospective bidders and Updating/Expansion of the pool of suppliers	Procurement Unit	Every bidding process and Year-round sourcing of suppliers	Emails / Calls / Supplier Summit
3.c	Average number of bidders who passed eligibility stage	Expound further the discussion of eligibilty documents to prospective bidder during the Pre-Bid Conference and remind them the all the bidder's responsibilities through email.	BAC Members / BAC Secretariat	Every bidding process	Presentations / Emails
3.d	Sufficiency of period to prepare bids	Early submission of PRs through Procurement Planning / EPA	End-users	Last quarter of the preceding year	Meetings / Procurement Plannings
3.e	Use of proper and effective procurement documentation and technical specifications/requirements	Attending GPPB seminars about crafting TOR/PBDs/Specifications	HRMD / End-users	Yearly	Seminars
4.a	Creation of Bids and Awards Committee(s)	Attending GPPB seminars about conduct of procurement activities as a refresher for the retaining BAC members and training for the new members	HRMD / BAC Members	Yearly	Seminars
4.b	Presence of a BAC Secretariat or Procurement Unit	Attending GPPB seminars about Administrative Assistance, Functions of BAC Secretariat, and the procurement activities as a refresher for the retaining BAC members and training for the new members	HRMD / BAC Secretariat	Yearly	Seminars
5.a	An approved APP that includes all types of procurement	Procurement Planning to carefully examine the appropriate Mode of Procurement of all requirements	End-users	Last quarter of the preceding year	Meetings / Procurement Plannings

5.b	Preparation of Annual Procurement Plan for Common-Use Supplies and Equipment (APP-CSE) and Procurement of Common-Use Supplies and Equipment from the Procurement Service	Familiarization of the Enduser is using Excel File and Digital Technology to accomplish the submission of APP-CSE per division for easier consolidation	End-users	2nd semester	Computer / Microsoft Software
5.c	Existing Green Specifications for GPPB-identified non-CSE items are adopted	Checking and adopting the green specifications of the materials and supplies being requested to purchase.	End-users	Every requirement	Research of Material for Office Supplies and Equipments
6.a	Percentage of bid opportunities posted by the PhilGEPS-registered Agency	Maintain the timeliness and percentage of PhilGEPS postings	BAC Secretariat	All Year-Round	Proof of Posting in PhilGEPS Website
6.b	Percentage of contract award information posted by the PhilGEPS-registered Agency	Maintain the timeliness and percentage of PhilGEPS postings	BAC Secretariat	All Year-Round	Proof of Posting in PhilGEPS Website
6.c	Percentage of contract awards procured through alternative methods posted by the PhilGEPS-registered Agency	Maintain the timeliness and percentage of PhilGEPS postings	BAC Secretariat	All Year-Round	Proof of Posting in PhilGEPS Website
7.a	Presence of website that provides up-to-date procurement information easily accessible at no cost	Close coordination with Systems Management Devt Division for errors encountered in the accessibility of posting.	BAC Secretariat / SMDD	All Year-Round	Proof of Posting in CITEM Website
7.b	Preparation of Procurement Monitoring Reports using the GPPB-prescribed format, submission to the GPPB, and posting in agency website	Mothers closing of records for easier consolidation before the deadline of submission.	BAC Secretariat / Procurement Unit	Monthly	Computer / Microsoft Software
8.a	Percentage of total amount of contracts signed within the assessment year against total amount in the approved APPs	Improved Procurement Planning based on programs and mandate of the agency	Enduser Unit / Budget and Cash Division	Yearly	Contracts / Reports
8.b	Percentage of total number of contracts signed against total number of procurement projects done through competitive bidding	Proper Procurement Planning and Contract Preparation for all procurement requirement done thru competitive bidding.	Enduser / BAC / BAC Secretariat / Procurement Unit	All Year-Round	BAC documents / Contract
8.c	Planned procurement activities achieved desired contract outcomes and objectives within the target/allotted timeframe	Procurement Planning and Contract Implementation Monitoring by Enduser and Legal units.	Enduser Unit / Legal Unit	All Year-Round	BAC documents / Contract
9.a	Percentage of contracts awarded within prescribed period of action to procure goods	Coordination with Legal, End user and Procurement units for preparation of contracts within the prescribed period of action,	Enduser Unit / Legal Unit / Procurement Unit	As needed	BAC documents / Contract
9.b	Percentage of contracts awarded within prescribed period of action to procure infrastructure projects	No Infrastructure Nature of Procurement conducted	-	-	-
9.c	Percentage of contracts awarded within prescribed period of action to procure consulting services	No Consultancy Nature of Procurement conducted.	-	-	-
10.a	There is a system within the procuring entity to evaluate the performance of procurement personnel on a regular basis	Proper Preparation and Evaluation of personnel based on existing Individual Performance Commitment and Review System..	HRMD / BAC / BAC Secretariat / Procurement Unit	Per semester	Reports / Evaluation Sheet / Evaluation meetings
10.b	Percentage of participation of procurement staff in procurement training and/or professionalization program	Seek regular procurement updates on issuances and yearly seminars for ALL procurement staff.	HRMD / BAC / BAC Secretariat / Procurement Unit	Yearly for Seminar / As needed for issuances	Seminars / GPPB Websites
10.c	The procuring entity has open dialogue with private sector and ensures access to the procurement opportunities of the procuring entity	Continues utilization of agency and philgeps website for procurement information dissemination and open dialogue during pre bidding conferences and online inquiries..	BAC / BAC Secretariat / Procurement Unit	As needed for inquiries and Prebid / Constantly for info dissemination	Email softwares / Presentations / Calls
11.a	The BAC Secretariat has a system for keeping and maintaining procurement records	Review of existing system for possible improvement.	BAC Secretariat	Monthly Closing of records	Cloud drives for Softcopies / Filing Cabinets and Materials
11.b	Implementing Units has and is implementing a system for keeping and maintaining complete and easily retrievable contract management records	Coordination with Legal and End-user units for improvement of records system	Legal Unit / End-user Unit	As needed	Proper filing / Cloud drives for Softcopies / Filing Cabinets and Materials

12.a	Agency has defined procedures or standards in such areas as quality control, acceptance and inspection, supervision of works and evaluation of contractors' performance	Update and/or promulgate guidelines and procedures	BAC / BAC Secretariat / IAS / Inspection Unit / Procurement Unit	As needed	Meetings / Procurement Plannings
12.b	Timely Payment of Procurement Contracts	Coordination with accounting and end-user divisions.	Procurement Unit / End-user Unit / Accounting Unit	As needed	Meetings / Internal Communication
13.a	Observers are invited to attend stages of procurement as prescribed in the IRR	Continuous ontime dissemination of Invitation to Observers and sending of link of online meetings.	BAC Secretariat	As needed	Letters and Emails
14.a	Creation and operation of Internal Audit Unit (IAU) that performs specialized procurement audits	Continous operation of the Internal Audit Unit to check and improve Agency process.	IAS / HRMD	As needed	Reports / Meetings / Office Orders
14.b	Audit Reports on procurement related transactions	Effectively answer if there's any Audit Observations. Ensure all Procurement related reports are addressed.	BAC / BAC Secretariat / IAS / Inspection Unit / Procurement Unit / TWG / COA	As needed	Letters and Emails
15.a	The Procuring Entity has an efficient procurement complaints system and has the capacity to comply with procedural requirements	Use of Customer Satisfaction Survey applications / softwares	Corporate Planning Division	All Year-Round	Software / Applications / Reports
16.a	Agency has a specific anti-corruption program/s related to procurement	Continuous Adaption and implementation of Anti Corruption laws such as but not limited to No whistle Blower, ARTA Law, No Gift Policy. And posting of all necessary documents for transparency.	HRMD / Corporate Planning Division / Legal Division / BAC Secretariat	All Year-Round	Signages / Websites